

Docket No. 259189US40PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Motoo ASAI, et al.

SERIAL NO: 10/509,899

GAU: 2633

FILED: June 29, 2005

EXAMINER:

FOR: SUBSTRATE FOR MOUNTING IC CHIP, MANUFACTURING METHOD OF SUBSTRATE FOR MOUNTING IC CHIP, DEVICE FOR OPTICAL COMMUNICATION, AND MANUFACTURING METHOD OF DEVICE FOR OPTICAL COMMUNICATION

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the reference(s) listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available unedited computer-generated English translations of pertinent portions of any non-English language reference(s).
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

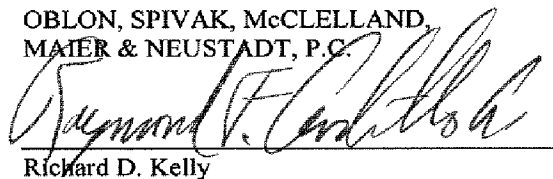
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.J./

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OPTICAL COMMUNICATION

**STATEMENT OF RELEVANCY**

**References AO to AU on Form PTO-1449:**

These references are cited in the Office Action for a relevant JP appln No.2002-213938, which the Applicants received on February 27, 2007.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.J./

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 259189US40PCT		SERIAL NO. 10/509,899	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Motoo ASAI, et al.			
				FILING DATE June 29, 2005		GROUP <del>2623</del> 2814	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/B.J./	AA	6,623,178 B1	09/23/2003	Kazunori SAKURAI, et al.			
/B.J./	AB	5,105,237	04/14/1992	Kazuyoshi HASEGAWA, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/B.J./	AO	2001-320172	11/16/2001	Japan (with English abstract and unedited computer-generated English translation)	X		
/B.J./	AP	2000-214351	08/04/2000	Japan (with English abstract and unedited computer-generated English translation)	X		
/B.J./	AQ	2001-339077	12/07/2001	Japan (with English abstract and unedited computer-generated English translation)	X		
/B.J./	AR	2001-59923	03/06/2001	Japan (with English abstract, corr. to US 6,623,178 B1)		X	
/B.J./	AS	3-290606	12/20/1991	Japan (with English abstract and English translation)	X		
/B.J./	AT	4-74483	03/09/1992	Japan (with English abstract, corr. to US 5,105,237)		X	
/B.J./	AU	8-110436	04/30/1996	Japan (with English abstract and unedited computer-generated English translation)	X		
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Bilgis Jahan/					Date Considered 06/12/2008		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.J./